

02-14-02

A.

RAY R. REGAN

REGISTERED PATENT ATTORNEY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert Aranda, Jr.
Sole Inventor: Robert Aranda, Jr.
For: AN INDEPENDENT AND INTEGRATED CENTRALIZED
HIGH SPEED SYSTEM FOR DATA MANAGEMENT
Attorney Docket Number: 2215.004
Express Mail Label Number: ET055781496US

TRANSMITTAL OF ORIGINAL APPLICATION
FOR U.S. NONPROVISIONAL PATENT

To: Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

Dear Assistant Commissioner:

Enclosed for filing are:

- ☒ Application for United States the Nonprovisional Patent described above;
- ☒ 3 sheets comprising the drawing figures numbered 1 through 3;
- ☒ a Declaration, Power of Attorney, and Petition of the inventor(s);
- ☒ an Assignment of Invention from Robert Aranda, Jr. ("Assignor") to Loss Prevention Management, Inc., a New Mexico corporation ("Assignee");
- ☒ a Recordation Cover Sheet in connection with recordation of the Assignment;
- ☒ this written assertion that Applicant and Assignee claim entitlement to small entity status;
- ☒ a Transmittal of Information Disclosure Statement;

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Street Address: 5120 San Francisco, NE, Albuquerque, New Mexico 87109

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- ☒ an Information Disclosure Statement;
 - ☒ copies of all items identified in the Information Disclosure Statement;
 - ☒ a duplicate of this sheet;
 - ☒ a Certificate of Mailing by Express Mail;
 - ☒ a prepaid stamped post card to be processed by the Patent Office and mailed to the undersigned sender;

Method of Payment of Fees:

- ☒ a check in the amount of \$728.00 for (a) the government filing fee in connection with the Application, and (b) the government recording fee for the Assignment; and

- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper to **Account Number 501565** for the Law Office of Ray R. Regan, including:

- ☒ 37 CFR 1.16(a), (f) or (g) for filing fees;
- ☒ 37 CFR 1.16(b), (c) and (d) for the presentation of extra claims; and
- ☒ any overpayments should be credited to Account Number 501565.

[This document is continued on the following page.]

| FEE CALCULATION | | | | | FEE |
|---|--------|--------|-----------------|--------|-----------------------|
| | NUMBER | | NUMBER EXTRA | RATE | BASIC FEE \$740.00 |
| Total Claims | 46 | - 20 = | 26 | x \$18 | \$ 486.00 |
| Independent Claims | 5 | - 3 = | 2 | x \$84 | \$ 168.00 |
| Total Filing Fee | | | | | \$1,376.00 |
| Less Small Entity Adjustment of Fifty Percent | | | | | \$ 688.00 |
| Recording Fee | | | | | \$ 40.00 |
| Total Enclosed | | | | | \$ 728.00 |

All correspondence related to this application may be addressed to the undersigned.

Dated this 12th day of February, 2002

LAW OFFICE OF RAY R. REGAN

Ray R. Regan

Attorney at Law

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CERTIFICATE OF MAILING BY EXPRESS MAIL

To: Assistant Commissioner for Patents
BOX NONPROVISIONAL PATENT APPLICATION
Washington, D.C. 20231

I CERTIFY THAT the accompanying papers associated with Express Mail Number ET055781496US, were deposited with the United States Postal Service in connection with "Express Mail Post Office to Addressee" service on the date set forth below, in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the 12^o day of FEBRUARY, 2002.

The accompanying papers include: Application for United States Nonprovisional Patent described above; 3 sheets comprising the formal drawing figures; a Declaration, Power of Attorney, and Petition of the inventor; a Transmittal letter listing all documents included and showing the calculation of fees, which includes a statement claiming small entity status for the inventor and assignee; an Information Disclosure Statement; copies of all items identified in the Information Disclosure Statement; a post card to be processed by the Patent Office and mailed to the undersigned sender; a check in the amount of \$728.00 for (a) the government filing fee in connection with the Application, and (b) the assignment recording fee; an Assignment of Invention from Robert Aranda,

Jr. ("Assignor") to Loss Prevention Management, Inc., a New Mexico corporation ("Assignee"); and
a Recordation Cover Sheet in connection with recordation of the Assignment.

Dated the 12th day of February, 2002



Ray R. Regan

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